

CALASTONE TRANSFERS

OVERVIEW

Calastone's proven Transfers solution automates the transfer of client fund holdings between fund platforms without their investments being redeemed.

Developed in collaboration with firms and markets across the world, the Transfers solution harmonises the transfers process with a fast and accurate straight-through process (STP) both at a domestic and cross-border level. It has turned a typical two-week process into a task that is completed, on average, less than one business day.

Users of the Transfers solution can reduce internal costs, refocus internal resource on to business critical activities and enhance their customer service.

Calastone Transfers - focus on client experience and lowering cost

THE CALASTONE TRANSFERS ADVANTAGE

- **Operational efficiency** – Accurate, fast and reliable STP transfer process cuts costs and lets you focus on your business' critical tasks and client experience
- **Certainty and transparency** – Oversight across the entire process protects investor interests
- **Low cost, easy to implement and use** – Fast onboarding with the support of a dedicated service team
- **Proven solution** – Experience delivering fund transfer solutions in multiple countries
- **Flexible approach** – One connection to Calastone lets you support all your clients' transfer activities no matter what model they choose to use without the admin burden

ENHANCE YOUR CLIENT EXPERIENCE, OPERATIONAL EFFICIENCY AND INDUSTRY CONNECTIVITY

Calastone's Transfers solution is a fast, safe and trackable alternative to the slow, paper-based process that some firms still deploy. We have standardised and automated the process so that it can be completed in less than one day, instead of weeks.

One connection to Calastone lets you support your clients' using either, or both, of the matched and single-leg transfer models. Our approach connects both transfer models so you can manage transfers in one format, regardless of the initiating model used, for a seamless process that provides one report at the end.

Firms using Calastone Transfers utilise our:

- Growing network of market counterparties making the process faster and easier
- Automated matching and 'four eyes' checking for accuracy
- Flexible access to minimise technology spend (direct with Calastone via our client portal or a range of STP options)
- Instant reporting on the status of all transfers to resolve issues quickly

Cost controlled

Calastone's dedicated support team manages the onboarding process. They can connect you to your counterparties in just 48 hours.

This means you are protected from future changes, such as counterparty connection updates. You can also refocus your teams on other business critical tasks.

Transparent

Provide your clients with full visibility on the status of their transfer with real time monitoring / tracking of the process through to completion. You can also identify what actions are outstanding by whom.

Our client portal allows you to understand where and why a message has failed, which does not happen with manual processing.

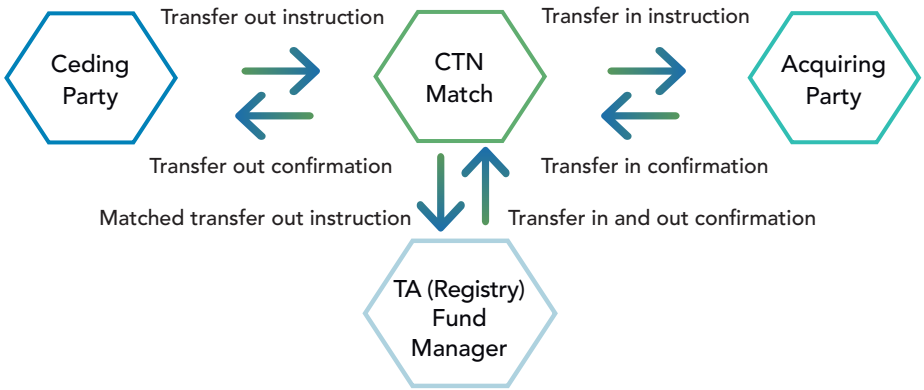
HOW DOES IT WORK?

The traditional, paper-based transfer process is resource intensive, prone to delay (e.g. postal system) and has a high potential for manual error.

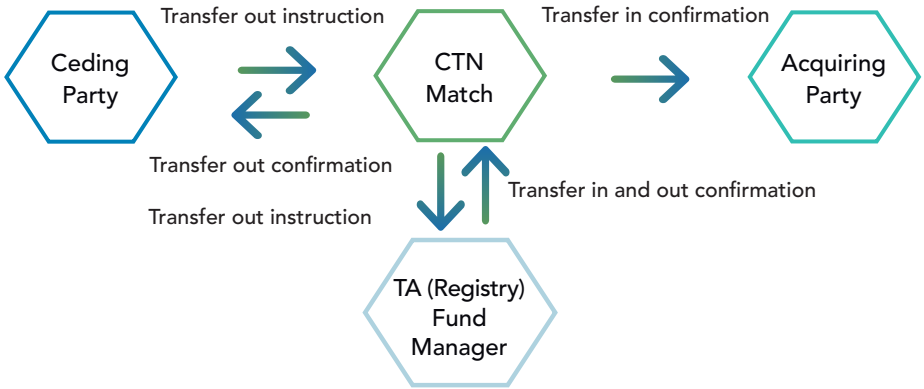
The Calastone process is automated and connects all counterparties seamlessly either via a direct or matched transfer, or by using a blend of both approaches depending on our clients' requirements.

We also support the portfolio phase of the transfer process where individual customer accounts are transferred. This covers the following asset classes: cash, funds, securities (equities, ETFs, bonds, investment trusts etc.) and some other assets (e.g. property).

Matched transfer process



Single leg transfer process





To learn more about **Calastone Transfers**
and how it can benefit your organisation
please contact **marketing@calastone.com**

UK: +44 203 700 4100

Luxembourg: +352 27 11 3062

Australia: +61 2 83 183 601

Hong Kong: +852 3898 1707

Taiwan: +886 2 8729 2385

Singapore: +65 6407 1070

W: www.calastone.com



@Calastone.Ltd



Calastone

© Calastone Limited is registered in England and Wales (no. 6298923). The registered office is Birchlin Court, 20 Birchlin Lane, London, EC3V 9DU. Calastone Limited is authorised and regulated by the Financial Conduct Authority under the Firm Reference Number 487428. The LinkedIn logo is a registered trademark of LinkedIn Corporation and its affiliates in the United States and/or other countries.

C-334 September 2019